

quant mutual

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(Use this form	if One Time Bank Mandate Form is r	DMMONAPPLICA registered in the folio) To b		nd in blue / black ink only. A	PP No.
Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
ARN-146822					
Upfront commission shall be paid directly by I/We hereby confirm that the EUIN box ha above distributor/sub broker or notwithstan	as been intentionally left blank by me/	/us as this transaction is e	xecuted without any intera	ction or advice by the employee/rel	ationship manager/sales person of the
Signature of 1 [#] Applicant / Guar Authorised Signatory /PoA/Ka		Signature of 2 [™] Applica Authorised Signat			i [≝] Applicant / Guardian / ed Signatory /PoA
Please ✓ Lumpsum Investmer		Micro Applicat	-		Application ()
1. EXISTING UNIT HOLDER INFORM	ATION [Please fill in your Fol	lio Number, KIN, Sec	tion 2 & proceed to S	Section 7 - Investment Deta	ils]
Folio No.	Ор	otional CKYC Identifi	cation No. (KIN)		
1 st SOLE APPLICANT Mr. / Ms. /M/s. (Please write the name as per PAN Card)				PAN	
(LEI Code for entities					
CKYC ID No. (KIN)			Pls ind		for tax purpose / Resident of Canada No ^s (\$Default if not \checkmark)
GUARDIAN (In case 1 Applicant is a N Mr. / Ms.				Relationsh	ip with Minor (Please ✓) Father ◯ Legal Guardiar
GUARDIAN CKYC ID No. (KIN)			KYC (Please ✓) ○ Proof Attached	GUARDIAN	
GUARDIAN AADHAAR No.				Aadhaar Copy (Plea	se ✓) ○ Enclosed
POA / Custodian Name:				KY	C (Please ✓) ○ Proof Attache
POA / Custodian CKYC ID No. (KIN)			Р	OA / Custodian PAN	
Contact Person for Corporate Investo	pr: Name			Designation:	
3 FIRST APPLICANT AND KYC DET				J. J	
1 st SOLE APPLICANT O Individual of	or O Non-Individual [Non Ind	ividual Investors shou	ld mandatorily fill sepa	arate FATCA, CRS & UBO de	etails form]
*Date of Birth/Incorporation (Individual) / (Non-individual) D M (Please write the Date of birth as per Aadhaar C		of of Date of Birth(Plea (For minor applicant)		0	School Leaving Certificate / Mark Sheet Others (Please specify)
Place of Birth / Incorporation: (Please write the Date of birth as per Aadhaar C	Country of Birth / O In	Nationali	iy: O Indian	Gender	○ Male ○ Female ○ Othe
Type: O Resident Individual O Sole	Prop O NRI - NRE O Tri	ust 🔘 Bank / Fls	⊖ FIIs ⊖ PIO	Society/AOP/BOI Mind	or through Guardian O NRI - NRC
│ HUF │ LLP │ Listed Company │ F	Private Company 🔘 Public Ltd. Co	ompany 🔘 Artificial Juri	dicial Person 🔘 Partner	ship Firm 🔘 FOF - MF Schemes	s 🔘 Others
a*. Occupation Details [Please tick (✓)] O Private Sector O Business	 Public Sector Retired 	Government Serv Agriculture		 Professional Housewid Others
c*. Politically Exposed Person (PEP) Statu		-	- 0		m Related to PEP O Not Applicab
b*. Gross Annual Income (₹) [Please ti	ick (✓)]	○ 1-5 Lakh	○ 5-10 Lakh	O 10-25 Lakh	○ >25 Lakh ○ > 1 Crore
d*. Net-worth (Mandatory for Non-Indi	viduals) ₹		as or	ו	(Not older than 1 yea
e*. Non-Individual Investors involved any of the mentioned services		xchange / Money Cha ending / Pawning	anger Services	Gaming/Gambling/Lottery/None of the above	Casino Services
4. BANK ACCOUNT DETAILS - Mand	latory [Refer Instruction Nos.	. 3 & 4]			
Name of the Bank:		· · · · · · · · · · · · · · · · · · ·			
Core Banking A/c No.			A/c. Type	Pls. (✓) ○ NRE ○ CU	
Branch Name: Bank	Ado	dress:			
Branch City:	Sta	te:		Pin C	ode
MICR Code	Please attac OR a clear pl	h a cancelled cheque hoto copy of a cheque	IFSC Code (Mand Credit via NEFT/R	atory for TGS)	

Mode of Holding: OAnyone	or Survivor	○ Single	С	Joint	(Please not	e that the Defa	ault option is	s Anyone o	r Survivor
2 nd APPLICANT Mr. / Ms. / M/s.	(Not Applicable in case of	Minor Applicant)				Condor			O Oth
(Please write the name as per PAN Card)							O Male	-	_
PAN Details				or a resident for tax					ult if not ¥
					oof Attached Da (A	s per PAN Card)			
Place of Birth / ncorporation: Please write the Date of birth as per Aadh	haar Card)		Nationality:	 Indian 		Gender	○ Male (Female	Other
a*. Occupation Details [Please tic	ck (✓)]			Government	◯ Stud		Professio	onal O	Housew
b*. Gross Annual Income (₹) [Plea	ase tick O Bus	siness ORef ow 1 Lakh O 1-5		5-10 Lakh	0 F10p		○ Others > >25 Lakh	. O	>1 Crore
(✓)] c*. Politically Exposed Person			EP Not A	Applicable	(Nist sides)	h = = (
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(Please write the name as per PAN Card)									
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CKYC ID No. (KIN)			KY	C Pls 🗸 🔿 Pro		ate of Birth (s per PAN Card)	Mandatory)		
Place of Birth / ncorporation: Please write the Date of birth as per Aadh	Country of Bi	hirth / Olndia n: Others	Nationality:	 Indian 		Gender	◯ Male (Female	○ Other
a*. Occupation Details [Please tic	ck (√)] ○ Priv		_	Government	◯ Stud		Professio	onal O	Housew
b*. Gross Annual Income (₹) [Plea) Bus	siness ORef ow 1 Lakh O1-5		Service Agricultu 5-10 Lakh	ure O Prop		○ Others > >25 Lakh		>1 Crore
c*. Politically Exposed Person (PEP)	Status I am PEP	I am Related to PEP	Not Applicabl		<u>-</u>				. 0.016
d. Net-worth ₹					`				
6a. MAILING ADDRESS [Pleas	e provide your E-mail	ID and Mobile Numb	ber to help us	serve you better	Refer Instructio	ns 6]			
Local Address of 1 st Applicant		City		State		Pin Co	de		
Tel. Off.			Resi.		Mobile				
Mobile No specified above belongs to		vestor being(Please tick	k any one option	,	Dependent Sil				
E - Mail^^						lings			
^^Please Use Block Letters. Investors		nandatorily receive all C	communications,	Statement of Accourt	nts and Abridged A	nual Report thr	ough e-mail (only.Incase	if physical
copies are required kindly refer instruct Email address specified above belongs		to Investor being(Please	e tick any one op	tion from below.)					
□ Spouse □ Guardian(for Mine	or Investment)	ependent Children	Depende	ent Parents	Dependent Si	olings			
6b. Mandatory for NRI / FII Appl		Full Address. P. O. I	Box No. may n	ot be sufficient. I	For Overseas In	vestors, India	n Address	is preferre	ed]
Overseas Correspondence Add	ress								
7. INVESTMENT AND PAYMEN	NT DETAILS (For com	plate information or							
		-	Regular Plan	Crewith (-	m capital wit	thdrawal.or	tion
Scheme :		0	Regular Plan	Growth C	er to Instruction: Payout of Incom Reinvestment of	e Distribution cu			
	Self (Non-Third P	Party Payment)	Regular Plan Direct Plan Third Party	Growth (Default)	Payout of Incom Reinvestment of attach 'Third Pa	e Distribution cu Income Distribu	ution cum cap eclaration F	pital withdra=	awal optior
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10. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA, CRS & UBO details form

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

(If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below

1 st Applicant (Sole / Guardian / Non-Individual)		2 nd Applicant			3 rd Applicant				
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		🔿 Yes 🔿 No	Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		🔿 Yes 🔿 No	Do you have any no Country(ies) of Birth Citizenship / Nation and Tax Residency	n-Indian 1/ ality O Yes O No		
Country of Birth / Incorporation			Country of Birth			Country of Birth			
Country Citizenship / Nationality			Country Citizenship / Nationality			Country Citizenship Nationality	1		
Are you a US specif person?	ied	○ Yes ○ No Please provide Tax Payer Id.	Are you a US specified Ores person? Please provide T		○ Yes ○ No Please provide Tax Payer Id.	Are you a US specif person?	ed Yes No Please provide Tax Payer Id.		
Individual or Non-In if ticked Yes above.	dividual i	nvestors fill this section	Individual investor	Individual investor have to fill in below details in case of joint applicants					
Tax Residency Status: 1	Country:			Countr	y:		Country:		
	No.:		Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:		
	Туре:			Туре:			Туре:		
	Country	y:		Countr	y:		Country:		
Tax Residency Status: 2	No.:		Tax Residency Status: 2	No.:		Tax Residency Status: 2	No.:		
	Туре:			Туре:			Туре:		
	Country	y:	Co		y:		Country:		
Tax Residency Status: 3	No.:		Tax Residency Status: 3 No			Tax Residency Status: 3	No.:		
	Туре:			Туре:			Туре:		
Address Type		Address Type			Address Type				

(Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio)

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

11. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2]

To The Trustees, quant Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); IWe hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) IWe hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any privations of the have the intermet of india from time to time. (C) Signature of the nominee acknowledging receipts of mylour credit will consultate full distances of quant Mutual Fund. (D) The information apprint of the scheme as and when needed. UWe will indemnify the Fund. AMC. Trustee, RTA and other intermetariance is and scheme aced. UWe will indemnify the Fund. AMC. Trustee, RTA and other intermetariance is and scheme aced. UWe will indemnify the Fund. AMC. Trustee, RTA and other intermetarian is a rany scheme regarding the eligibility, validity and authorization of mylour transactions. (E) IWe hereby confirm that I MA AMC. Trustee, RTA and other intermetariance is any other precision of mylour transactions. (E) IWe hereby confirm that IWe have not been offsered to **communicated any indicative portfolio and/ or any indicative porterm that IWe have not been offsered indicative portfolio and by the terms. Sconditions of the PIM AMC/Its distributor for this investment. I/We have not neeved the and/acce are transacting online. (H) RA: IWe hereby agree to consent the AMC beam my transaction details to the registred investment advisor (RA) through the registra or otherwise. (I) Applicable to Foreign Resident's MEASCE and the information sort on the there ace understood the FATCA ACRS Certifications: I/ We shared to the information sort on** To The Trustees, quant Mutual Fund (The Fund) - (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions,

I/we have read the point number 16 and we will participant Go Green initiative

≞

For O Lumpsum 'OR' O SIP

ž	Received Application from Mr. / Ms. / M/s.		as per details below:
VEN	Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
EDGI		Amount (Rs.)	
		Cheque / DD No.: Dated	
ACK		Bank & Branch	

Cheque / DD is subject to realisation

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment. Applications incomplete in any respect (other than mentioned above) will be liable to be rejected

General Instructions 1.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at application form and on the payment instrument are different, sing bulk signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

Applicant Information

- Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). Alocal address if available should also be mentioned in the CAF. (a)
- Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof. (b)
- Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected. (c)

KYC Requirements and Details:

Implementation of Central KYC (CKYC):

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRAsystem will be required to fill the new CKYC form while investing with the Fund.

(ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.

(iii) Investors who have already completed CKYC and have a KYC leditication Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory.

Purther, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website.

Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.

- Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to help.distributor@guant.in for distributors and help.investor@guant.in for investor
- (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (f)

(ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POAdocument should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOAand AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

Bank Account Details: 3.

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from the set of the set time to time.

Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel.

The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account, however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated.

Any COB accompanied with any other transaction is liable to be rejected.

If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/IDCW payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/IDCW proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/IDCW proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio.

For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents w bank account

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel.

If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

Direct Credit of Redemption/IDCW Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NET/RTGS.

Investment Details:

Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local quant Mutual Fund (qMF)/AMC office or Authorised Collection Centre(s). a)

- at the local quart Mutual Fund (qMF) PANIC office of Autinonsed Collection Centre(s). Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of qMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. b)
- In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on c)

the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (IDCW/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme. d)
- For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".
- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases: Payment by the AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual
 Fund units of the schemes managed by the AMC through lump sum I one-time subscription.

 Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on
account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through lump sum / onetime subscription. Custodian on behalf of an FII or a Client.

Options Available: IDCW and Growth Default Option: Growth; and under IDCW Option, Default option: IDCW Reinvestment. Investors may please note, that IDCW may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. f)

IDCW Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

Communication 7.

The investor whose transaction has been accepted by the qMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- 2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
- In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/March)]
- Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.

5. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word transaction's hall include purchase, redemution, switch, IDCW (payout and reinvestment) SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions.

CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by qMF for each calendar month on or before 10th of the immediately succeeding month.

In case of a specific request received from the Unit holders, qMF will provide the account statement to the investors within 5 Business Days from the receipt of such request.

Annual Reports or other information etc. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail.

Thes provided consent for sending communication only Via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

8. Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIMApplication form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, faminishing for of of guardianship if the nominee is a minor, and such other documents as may be required from the nominee is a minor, and such other documents as may be required from the nominee is a minor, and such other documents as may be required from the nominee is a minor. And such other documents are may be required from the nominee is a minor.

Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.

However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

Waiver of Entry Load and Payment of commission and load structure: 9.

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

Investors should note the following instructions for ensuring that the application is treated as a direct application:

1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.

Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors. 2

10. Employee Unique Identification Number (EUIN):

Employee Unique Identification Number (EUIN): In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/ relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/busb broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

11. Units in Demat mode:

Units in Dematmode: Units of QMF can be held by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the AF the DPs name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data, will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the OP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/Switch only through bDP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

INSTRUCTIONS

The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority. The potential consequences for failure to comply with requests for tax information along any tax related information obtained or held by the fund, investor tereby agrees to provide necessary information and permits the tund to disclose and report tax and account specific financial information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the global or foreign tax authority. (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the discribing to close investor accounts; (d) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax regues to inform, or respond to any request from, the fund, if there are any changes to a information previously provided.
 All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment enguest. The indicids are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.
 The identification of US person will be based on one or more of the following US indicia: Identification of the investor as US d

13. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/ institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US rax Identification Number.

Hit is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (F): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value

of shares traded on the exchange).

- Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.
- Active NFE : (is any one of the following):

Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; 01
- 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or business of a Financial hostitution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 03
- The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; 04
- The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; 05
- 06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution,
- 07

the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; Any NFE that fulfills all of the following requirements: (1) It is established and operated in India institution; religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, business league, chamber of commerce, labor promiterary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE's country or territory of residence or the NFE's contratible Entity other than pursuant to the conduct of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in

Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely- (1) an Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

- The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market. 08
- Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D' Driving License; "E" NREGAJob Card. d.
- Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

Exemption code for U.S. person (Refer 114F(9) of Income I ax Rules, 1962 for details. (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1) (i), (v) A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (v) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or an entity registered at all times during the tax year under the investment company as defined in section 451 or an entity registered at all times during the tax year under the investment company as defined in section 451 or an entity 664 or described in section 4947(a)(1). (xiii) Atax exempt trust under a section 403(b) plan or section 457(g) plan. **Basive Income includes:** 100W: Interest Income activitient to interest. Fants and roughlise, other than each and **Basive Income includes:** 100W: Interest Income activitient to interest. Texts and roughlise, other than each and **Basive Income includes:** 100W: Interest Income activitient to interest. Texts and roughlise, there the tax market the roughlise, the roughlise, there are the roughlise, other the roughlise, there are are are the roughlise.

Pasive Income includes: IDCW: Interest, Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer. such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 11GA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect PFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

14. Ultimate Beneficial Owner (UBO)

Utimate Benericial Owner (UBO) Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately initimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of qMF or log on to our website www.quantmutual.com for the Declaration Form.

A Ultimate Beneficial Owner means

I. For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical

person, exercises control through ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincomporated association or body of individuals. In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or

where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant

natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.
C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person [Investor], where the juridical person is a nunincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person firve exist doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control hrough ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust if they are natural person(s). UBO-1: Natural person(s). UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the trust. UBO-7: Natural person(s). UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the trust if they are natural person(s). UBO-1: Natural person(s). beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership. **D**. PAN and KYC of all the beneficiaries of UBO is mandatory to accept the transaction

15. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCAdeclaration form (available on www.quantmutual.com).

16. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/LAD-NRO/GN/2018/14 & Circular SEBI/HO/IMD/DF2/CIR/P/2018/92 regarding Go Green Initiatives in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative meausre. In line with above initiative, quant Mutual fund has adopted "Go Green Initiative for Mutual Funds" and accordingly, the scheme Annual Reports/Abridged Summary will be hosted on our website www.quantmutual.com. Further, wherever email ids are registered in our records, the scheme Annual Reports/ Abridged Summary will be sent via email
- email. If you do not opt-in to receive a physical copy of the scheme Annual Report/ Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/ Abridged Summary.
- 17. LEI (Legal Entity Identifier) Code : The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value 150 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

18. Instruction for Nomination:

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate. Β.
- D.
- Nomination is not allowed in a folio of a Minor unitholder. Nomination is not allowed in a folio of a Minor unitholder. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis). A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to E.
- be provided. Nomination can also be in favour of the Central Government, State Government, a local authority, any person
- designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership G.
- firm, Karta of Hindu Undivided Family or a Power of Attorney holder. ANon-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
- Every new nomination for a folio/account shall overwrite the existing nomination, if any. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / K. account
- Nomination shall stand rescinded upon the transfer of units.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/sis/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically Μ. stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual
- N. Fund / Trustees against the legal heir(s). Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders.
- 0 The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
- Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the P space provided in the nomination form. Q
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request R for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.